



TMW BUSINESS CONTINUITY STATEMENT

At TMW Advisory LLC, we recognize the importance of maintaining seamless operations and safeguarding our clients' interests, even in the face of unexpected disruptions. As a global family office with headquarters in Miami, Florida, and additional offices in Dubai and Singapore, we have developed a comprehensive **Business Continuity and Disaster Recovery Plan (BCP)** to address potential interruptions in our services.

Our BCP is designed to ensure the recovery, resumption, and maintenance of all critical business functions, including:

- I. **Data Backup and Recovery:** Secure storage and rapid retrieval of essential data.
- II. **Mission-Critical Systems:** Ensuring uninterrupted access to key operational systems.
- III. **Communication Protocols:** Alternative channels to maintain clear and effective communication with clients, employees, and regulators.
- IV. **Third-Party Vendors:** Coordination with critical vendors to preserve service continuity.
- V. **Executive Succession Plans:** Provisions for leadership continuity in the event of unforeseen circumstances.
- VI. **Regulatory Reporting:** Ensuring compliance with reporting requirements even during disruptions.

As a family office, TMW Advisory LLC does not perform clearing functions or hold client funds or securities. Instead, custody of all client assets is maintained by independent, qualified custodians, ensuring additional layers of security and operational resilience. These custodians, such as Charles Schwab, TD Ameritrade, and Fidelity, provide clients with direct access to their accounts and handle the delivery of funds and securities independently.

Examples of Our Business Continuity Measures

(a) **Office Disruption or Power Outage:**

If one of our office locations becomes unavailable or experiences a power outage, telephone calls will be re-routed to our other offices. Employees at the affected location will be instructed to work remotely to ensure uninterrupted service to clients.



(b) Public Health Crisis or High Employee Absenteeism:

During events such as a public health crisis, we prioritize critical business functions to directly support client needs. Our workforce continuity plan enables employees to work remotely, ensuring service delivery with minimal disruption.

Commitment to Preparedness

While we have implemented robust measures to anticipate and mitigate risks, no contingency plan can eliminate all potential impacts from significant business disruptions. Clients may temporarily experience reduced services or access during recovery periods.

At TMW Advisory LLC, we remain committed to regularly assessing and updating our BCP to address evolving risks, ensuring that our clients' needs are met with resilience, professionalism, and care.

If you have questions about our Business Continuity and Disaster Recovery Plan, please contact us directly.

TMW Advisory LLC
Miami | Dubai | Singapore